



MISSOURI **DEPARTMENT OF** **NATURAL RESOURCES**

Fiscal Year 2020
(Reporting Year Oct. 1, 2019 – Sept. 30, 2020)

Drinking Water State Revolving Fund Intended Use Plan

**Adopted October 16, 2019
Amended January 15, 2020
Amended July 8, 2020**

List of Fiscal Year 2020 Applicants

	Applicant	Priority Points	Service Area Pop.	Financial Assistance Request
C	Bowling Green	41	5,334	\$ 845,325
C	Brashear	54	273	\$ 950,748
	Camden County PWSD #1	95	1,165	\$ 3,417,000
C	Carrollton PWS	45	3,784	\$ 4,180,647
C	Centertown	114	278	\$ 1,781,084
C	Centralia	25	4,027	\$ 2,516,290
	Chamois	105	396	\$ 1,514,707
C	Laurie	45	945	\$ 1,313,795
C	Madison County PWSD #1	85	1,009	\$ 3,227,225
	Marshfield	73	6,633	\$ 6,151,126
	Missouri American Water-Jefferson City Plant Upgrades	40	32,000	\$ 10,315,000
	Newburg	90	470	\$ 1,129,826
C	Pierce City	71	1,261	\$ 5,008,612
C	Plattsburg	81	2,319	\$ 3,650,558
	Princeton	115	1,166	\$ 3,886,100
	Saline County PWSD #1	52	591	\$ 1,096,462
	Silex	100	283	\$ 2,266,138
	Southwest Rural Water Supply	77	2,700	\$ 1,921,500
C	Urbana	55	417	\$ 1,873,236
	Warsaw	65	2,125	\$ 4,905,003
Total Comprehensive List Projects				\$ 61,950,382

Note: C = Carried over from the last Intended Use Plan

**Drinking Water State Revolving Fund
Estimated Sources and Uses of Funds
FFY 2020 Intended Use Plan**

<u>Estimated Sources as of December 31, 2018</u>			
Anticipated Capitalization Grants for Drinking Water State Revolving Fund		\$ 40,064,465	
Cash Balance *		\$ 40,024,968	
Anticipated Loan Repayments and Investment Earnings Net of Bond Payments (1/1/19 - 9/30/21)		\$ 54,193,196	
Total Estimated Sources			\$ 134,282,629
<u>Estimated Uses</u>			
Undisbursed Amounts Committed to Existing Projects		\$ 3,152,177	
Anticipated Funds Committed to Drinking Water Set-Aside Activities		\$ 13,167,575	
Anticipated Direct Loans Closing between 1/1/19 and 9/30/19		\$ 3,003,000	
Anticipated Grants Awarded between 1/1/19 and 9/30/19		\$ 3,154,841	
Anticipated Additional Subsidization Available for FFY 2020 DWSRF IUP Projects		\$ 17,308,060	
Anticipated Loan Funds Available for FFY 2020 DWSRF IUP Projects		\$ 94,496,976	
Total Estimated Uses			\$ 134,282,629
* On October 18, 2018, the Environmental Improvement and Energy Resources Authority (EIERA) issued bond series 2018A in tax exempt revenue bonds, of which \$10,020,000 was for the Drinking Water program. The bond proceeds will be disbursed as state match to Drinking Water capitalization grants. The proceeds will support approximately three years of state match requirements to the FFY2018, 2019, and 2020 capitalization grants. The bond proceeds were deposited into the Water & Wastewater Loan Revolving Fund for disbursement.			
Loan and Grant Commitments 1/1/19 through 9/30/19	Loan	Grant	Total
Memphis - Funded 9/12/19	\$ 1,731,000	\$ 1,882,841	\$ 3,613,841
Buchanan PWSD No. 1 - Funded 9/26/19	\$ 1,272,000	\$ 1,272,000	\$ 2,544,000
Total Commitments 1/1/19 through 9/30/19	\$ 3,003,000	\$ 3,154,841	\$ 6,157,841

Allocation Of Available Loan Funds

Missouri law requires that twenty percent and fifteen percent of available funds, respectively, shall be made available to water systems serving populations of 3,300 or less (designated as very small communities) and water systems serving populations of 3,301 to 9,999 (designated as small communities). The remaining sixty five percent (designated for general community) is not restricted by population served.

- The fundable project lists may change significantly between the draft Intended Use Plan placed on public notice and the final version approved by the Safe Drinking Water Commission.
- The inclusion of a project on the fundable list is not a guarantee of funding. Other factors, such as timely progress, compliance with program requirements and funding availability, may impact project funding.
- If a system is utilizing an Engineering Report Services Grant from the Department, their SRF project was reduced by the total engineering grant amount.

Allocation of Available Funds

Funding Category	Percent of Fundable List Allocation	Loan	Additional Subsidization	Total
Very Small Community (1)	20%	\$ 5,052,947	\$ 17,308,060	\$ 22,361,007
Small Community (2)	15%	\$ 16,770,755	\$ -	\$ 16,770,755
General Community	65%	\$ 72,673,274	\$ -	\$ 72,673,274
Total Allocation of Available Funds		\$ 94,496,976	\$ 17,308,060	\$ 111,805,036

(1) Service area population of 3,300 or fewer.

(2) Service area population of 3,301 to 9,999.

Financial Summary of the Fundable Projects

	Loans				Additional Subsidization			
	Very Small Community	Small Community	General Community	Total	Very Small Community	Small Community	General Community	Total
Allocation of Available Funds	\$ 5,052,947	\$ 16,770,755	\$ 72,673,274	\$ 94,496,976	\$ 17,308,060	\$ -	\$ -	\$ 17,308,060
Total Projects on Fundable List (1)	\$ 18,372,924	\$ 13,693,388	\$ 10,315,000	\$ 42,381,312	\$ 17,308,060	\$ -	\$ -	\$ 17,308,060
Transfer	\$ 3,077,367	\$ (3,077,367)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	\$ 10,242,610		\$ (10,242,610)	\$ -				
Funding Assistance Planned (2)	\$ 18,372,924	\$ 13,693,388	\$ 62,430,664	\$ 94,496,976	\$ 17,308,060	\$ -	\$ -	\$ 17,308,060
Balance Available after Projects Funded (3)	\$ -	\$ -	\$ 52,115,664	\$ 52,115,664	\$ -	\$ -	\$ -	\$ -

(1) From the Project Lists on the subsequent pages.

(2) Funding Assistance Planned = Allocation + Transfers.

(3) Balances may be shifted to other categories to fund projects that are ready to proceed.

DWSRF Fiscal Year 2020 Project Priority Lists

Carryover Disadvantaged	Priority Points	Applicant	Project #	Description/ Needs Category	Service Area Population	Amount Requested	Loan Amount	Additional Subsidization Amount	Est. Financing Schedule FY - Quarter	
<i>Note: An explanation of the abbreviations and codes appears at the end of the project lists.</i>										
FUNDABLE LIST - AVAILABLE FUNDS (Debt instrument secured and facility plan submitted)						\$ 94,496,976	\$ 17,308,060			
Very Small Community (Systems that serve a population of 3,300 or fewer)										
	D	135	Princeton	DW291370-01	TP, Dis, Stor Rehab, Well, Well Rehab	1,166	\$ 3,886,100	\$ 1,886,100	\$ 2,000,000	20-4
	D	105	Chamois*	DW291365-01	Well, Stor Rehab, Dis	396	\$ 1,514,707	\$ 345,000	\$ 1,035,000	20-4
	D	100	Silex	DW291377-01	TP, Dis, Stor	283	\$ 2,266,138	\$ 566,534	\$ 1,699,604	20-4
	D	95	Camden County PWSD #1	DW291373-01	Dis, Stor, TP, Stor Rehab, Well Rehab	1,165	\$ 3,417,000	\$ 1,417,000	\$ 2,000,000	20-4
C	D	94	Centertown	DW291337-02	Stor, Dis	278	\$ 1,781,084	\$ 445,271	\$ 1,335,813	20-4
	D	90	Newburg	DW291229-02	Dis, Stor, Well Rehab	470	\$ 1,129,826	\$ 282,456	\$ 847,370	20-4
C	D	85	Madison County PWSD #1	DW291364-01	Dis, Well, Stor	1,009	\$ 3,227,225	\$ 1,227,225	\$ 2,000,000	20-2
C	D	71	Pierce City	DW291345-01	Dis, Stor Rehab, TP	1,261	\$ 5,008,612	\$ 2,508,612	\$ 2,000,000	20-4
	D	65	Warsaw*	DW291293-02	Dis	2,125	\$ 4,905,003	\$ 1,278,700	\$ 2,000,000	20-4
C	D	65	Urbana	DW291367-01	Dis	417	\$ 1,873,236	\$ 468,309	\$ 1,404,927	20-4
C	D	45	Laurie	DW291312-02	Dis	945	\$ 1,313,795	\$ 328,449	\$ 985,346	20-3

Carryover	Disadvantaged	Priority Points	Applicant	Project #	Description/ Needs Category	Service Area Population	Amount Requested	Loan Amount	Additional Subsidization Amount	Est. Financing Schedule FY - Quarter
C	81	Plattsburg	DW291210-03	Dis, Stor	2,319	\$ 3,650,558	\$ 3,650,558	\$ -	-	20-4
	77	Southwest Rural Water Supply	DW291371-01	Dis, Stor, Well	2,700	\$ 1,921,500	\$ 1,921,500	\$ -	-	20-4
C	54	Brashear	DW291347-01	Stor	273	\$ 950,748	\$ 950,748	\$ -	-	20-4
	52	Saline County PWSD #1	DW291359-01	Dis, Stor	591	\$ 1,096,462	\$ 1,096,462	\$ -	-	20-4
Very Small Community Total						\$ 37,941,994	\$ 18,372,924	\$ 17,308,060		
Balance						\$ -	\$ -	\$ -		
Small Community (Systems that serve a population of 3,301 to 9,999)								\$ 13,693,388	\$ -	
	73	Marshfield	DW291148-04	TP, Stor, Well Rehab	6,633	\$ 6,151,126	\$ 6,151,126			20-2
C	45	Carrollton PWS	DW291368-01	Dis	3,784	\$ 4,180,647	\$ 4,180,647	\$ -	-	20-4
C	41	Bowling Green	DW291167-04	Dis	5,334	\$ 845,325	\$ 845,325	\$ -	-	20-4
C	25	Centralia	DW291366-01	TP	4,027	\$ 2,516,290	\$ 2,516,290	\$ -	-	20-3
Small Community Total						\$ 13,693,388	\$ 13,693,388	\$ -		
Balance						\$ -	\$ -	\$ -		
General Community								\$ 62,430,664	\$ -	
	40	Missouri American Water - Jefferson City Plant Upgrades	DW291375-01	TP, Dis, Stor	32,000	\$ 10,315,000	\$ 10,315,000	\$ -	-	20-4
General Community Total						\$ 10,315,000	\$ 10,315,000	\$ -		
Balance						\$ 52,115,664	\$ -			
Total Fundable List Projects						\$ 61,950,382	\$ 42,381,312	\$ -		
Balance of Fundable List						\$ 52,115,664	\$ -			

Carryover	Disadvantaged	Priority Points	Applicant	Project #	Description/ Needs Category	Service Area Population	Amount Requested	Loan Amount	Additional Subsidization Amount	Est. Financing Schedule FY - Quarter
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FUNDABLE CONTINGENCY LIST (Debt instrument secured and facility plan submitted; fundable if funds become available)								\$	-	\$	-	
							\$	-	\$	-	\$	-
Total Fundable Contingency Projects								\$	-	\$	-	
CONTINGENCY LIST (Either debt instrument or facility plan has not been submitted)								\$	-	\$	-	
							\$	-	\$	-	\$	-
Total Contingency Projects								\$	-	\$	-	
PLANNING LIST - AVAILABLE FUNDS (Debt instrument and facility plan not yet secured/submitted)								\$	-	\$	-	
Total Planning List Projects								\$	-	\$	-	

Description Reference List		
	TP - Treatment	Well - Well
C Carried over from the last Intended Use Plan	Stor – Storage	Stor Rehab - Storage Rehabilitation
D Disadvantaged	Dis – Distribution	Well Rehab - Well Rehabilitation
	Rehab - Rehabilitation	

Notes:

* Applicant has a max bonding capacity that the loan amount cannot exceed.

Final eligible costs will be determined as documents are submitted and the project progresses toward loan closing.

Financing schedule shown is for planning purposes only. Final scheduling will be determined as documents are submitted and approvals obtained.

Carry over projects from the fiscal year 2020 list must reapply to be considered for the fiscal year 2021 list.